



13th August 2021

The Catalyst Trusteeship Service Ltd
Windsor, 6th Floor, Office No-604,
C.S.T Road, Kalina, Santacruz (East),
Mumbai – 400 098

Dear Sir/Madam,

Sub: Listed NCD - Statutory Compliance Report of the NCD's for the Quarter ending 30th June 2021
Ref: Non-convertible Debentures issued by the Company

With reference to the captioned subject, please find below the followings:

1. Updated list of the names and addresses of the Debenture holders: Enclosed in **Annexure -1**
2. A Certificate cum Confirmation about the payment of interest and principal on timely basis and if the same is unpaid, reasons thereof, duly signed by key managerial personnel viz., Managing Director/ Whole Time Director / CEO / CS / CFO of the Company: Enclosed in **Annexure -2**
3. Grievances:
 - a) Number of Grievances received: Nil
 - b) Nature of Grievances received: Nil
 - c) Number of Grievances resolved by the Company: Nil
 - d) Grievances unresolved by the Company and the reason for the same: Nil
4. Statement that assets are sufficient to discharge the claims and free from encumbrances: Enclosed in **Annexure-3**
5. Details of Recovery Expense Fund: Enclosed in **Annexure-4**.
6. Details of any significant change in composition of Board of Directors as defined by SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011:

During the quarter, there is no significant change in composition of Board of Directors as defined by SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011. Ms. Anita Belani was inducted on the Board of the Company as an Independent Director with effect from 7th May 2021. Updated list of Directors of the Company as available on MCA website is enclosed as **Annexure 5**.
7. Statement on ISIN, payment on due dates & Credit rating as on 30-Jun-2021: Enclosed as **Annexure 7**.
8. Details of any amalgamation, demerger, merger or corporate restructuring or reconstruction scheme proposed by the Company

We confirm that, there is no amalgamation, demerger, merger or corporate restructuring or reconstruction scheme proposed by the Company.



9. Details of change, if any, in the nature and conduct of the business by the Company

We confirm that the nature and conduct of the business remains the same.

10. Proposals, if any placed before the Board of Directors for seeking alteration in the form or nature or rights or privileges of the Debentures or in the due dates on which interest or redemption are payable, if any: Nil
11. Outstanding litigations, orders, directions, notices, of court/ tribunal affecting, or likely to materially affect the interests of the Debenture Holders or the assets, mortgaged and charged under security creation documents, if any: Nil
12. Compliance of all covenants of the issue (including side letters, accelerated payment clause, etc.) and status thereof: We confirm.
13. Details of Fraud/defaults by promotor or key managerial personnel or by Issuer Company or arrest of key managerial personnel or promoter: Nil
14. Details of requisite/ pending (if any) information/ documents indicated as conditions precedent/subsequent in debenture document/s in respect of your NCD: Nil
15. Any revision in rating of the debentures: There was no revision in rating for the quarter ending 30th June 2021 for existing debentures.
16. Updated Information on default in payment of principal and interest on due dates: Nil
17. There are no events or information or happenings which may have a bearing on the performance/operation of the Company, or there is no price sensitive information or any action as per SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 that may affect the payment of interest or redemption of the Debentures: We confirm.
18. Company has complied with para 2.2 of the SEBI circular dated 12.11.2020, for all existing debt securities, listed entities and trustees are required to enter into supplemental/amended debenture trust deed incorporating the changes in the debenture trust deed: We confirm.

For and on behalf of **Vivriti Capital Private Limited**

P S Amritha
Company Secretary
Mem No. A49121



Annexure -1

S.No	ISIN	Issue Amount (Rs. In Crs)	Name of the Debenture Holder**	Address of the Debenture Holder	Category	No. of Debentures held
1	INE01HV07031	40.00	Nippon India Mutual Fund	Reliance Centre, 7th floor South Wing Near Prabhat Colony, Santacruz (East), Mumbai - 55	Mutual Funds- MF & Corporate Body - Domestic	400
2	INE01HV07049	20.00	InCred Financial Services Limited	Unit 1502 A Tower B The Capital Bkc, Complex Bandra East C 70, G Block, Mumbai - 51	Corporate Body - Domestic	200
3	INE01HV07064	5.35	Ladderup Wealth Management Private Limited	102-A, 1st Floor, Hallmark Business Plaza, Gurunanak Hospital Road, Bandra East, Mumbai, Maharashtra - 400051	Corporate Body- Domestic, Resident-Ordinary & NRI-Non Repatriable	107.00
4	INE01HV07072	25.00	Arka Fincap Limited	Unit No 1202 12th Floor Tower, 2B One Indiabulls Center, Jupiter Mills Marg Lower Parel W, Mumbai,	Corporate Body- Domestic	250



				Maharashtra - 400013		
5	INE01HV07171	75.00	Nippon Mutual Fund	Deutsche Bank AG, DB House, POST BOX NO. 1142 Hazarimal Somani Marg, Fort, Mumbai, Maharashtra - 400001	Mutual Funds - MF	750
6	INE01HV07189	30.00	Kindly refer to note 6 below	307 3rd Floor Marathon Icon Off, Ganpatrao Kadam Marg Lower Parel, West Opp Peninsula Corporate Park, Mumbai, Maharashtra - 400013	Corporate Body-Domestic	300
7	INE01HV07197	75.00	Kindly refer to note 7 below	Multiple investors	Corporate Body-Domestic & Individuals - HNIs	7500

****Note 1: Details of Funds as per BENPOS as on 30th June 2021**

S.No	ISIN	Particulars of the fund	No. of Debentures held
1	INE01HV07031	Nippon Life India Trustee Ltd-A/C Nippon India Fixed Horizon Fund - XXXVIII - Series 11	150
2		CSB Bank Ltd	150
3		Nippon Life India Trustee Ltd-A/C Nippon India Fixed Horizon Fund - XXXIX - Series 5	100
	Total		400



****Note 2: Details of Debenture holders as per BENPOS as on 30th June 2021**

S.No	ISIN	Particulars	No. of Debentures held
1	INE01HV07049	InCred Financial Services Limited	200
	Total		200

****Note 3: Details of Debenture holders as per BENPOS as on 30th June 2021**

S. No.	ISIN	Particulars of the holders	No. of Debentures held
1	INE01HV07064	Swapn Rajdev	10
2		James Chagas Pereira	6
3		Rohini Ajay Vaish	5
4		Ajay Surendra Vaish	5
5		Saroj Agarwal	5
6		Amit Agarwal	5
7		Pankaj Natvarlal Shah	4
8		Shailendra Kumar Tripathi	4
9		Shivalik Prasad	4
10		Bibhuti Mohan Jha	4
11		Kunda Kashinath Bhagat	4
12		Jashvant C Raval	4
13		Sachin Raghunandan Kotangale	4
14		Ambuj Jain	4
15		Atul Gaur	4
16		Minnu Rajan Bhonsle	4
17		Bel-Air Resorts Private Limited	4
18		Kalpana Haresh Samtani	2
19		Parasmal Tarachand Jalori	2
20		Anujan Pallissery Cheriya	2
21		Aakash Yogendralal Shah	2
22		Ladderup Wealth Management Private Limited	2
23		Chandrakant Shantilal Shah	2
24		Arunkumar Rajaram Iyer Huf	2
25		Subrata Chatterjee	2
26		Sujan Sinha	2
27		Shrikrishna Dattatray Naik	1
28		Mandar Shrikrishna Naik	1
29		Rolly Swarup	1
30		Amit Das	1
31		Anurag Mehra	1



32		Rahul Swarup	1
33		Baqar Raza Mehdi Bakht	1
34		Ami Nayak	1
35		Rekha Prafull Satam	1
	Total		107

****Note 4: Details of Debenture holders as per BENPOS as on 30th June 2021**

S.No	ISIN	Particulars	No. of Debentures held
1	INE01HV07072	Arka Fincap Limited	250
	Total		250

Note 5: Details of Funds as per BENPOS as on 30th June 2021

S.No	ISIN	Particulars of the fund	No. of Debentures held
1	INE01HV07171	Nippon Life India Trustee Ltd-A/C Nippon India Ultra Short Duration Fund	300
2		Nippon Life India Trustee Ltd-A/C Nippon India Equity Hybrid Fund	250
3		Nippon Life India Trustee Ltd-A/C Nippon India Credit Risk Fund	200
	Total		750

Note 6: Details of Funds as per BENPOS as on 30th June 2021

S.No	ISIN	Particulars of the fund	No. of Debentures held
1	INE01HV07189	Niyogin Fintech Limited	250
2		Profectus Capital Private Limited	50
	Total		300

Note 7: Details of Funds as per BENPOS as on 30th June 2021

S. No.	ISIN	Particulars of the holders	No. of Debentures held
1	INE01HV07197	Ess Kay Fincorp Limited	4510
2		OFB Tech Private Limited	503
3		Mukul Arora	200
4		Ashish Agrawal	200
5		Daljeet Niranjana Singh	100
6		Santosh Daljeet Singh	100



7	Phoenix Medical Systems Private Limited	100
8	Harish Gangji Dedhiya	100
9	K G Subramanian	50
10	Manish Chopra	50
11	Superna Bedi	50
12	Ishant Sharma	50
13	Malay Jiban Barua	40
14	Jaikishan Assoomal Sajnani	40
15	Chandan K Shanbhag	40
16	Preeta Rajan	40
17	Asha Puranmalka	30
18	Puneet Jetli	30
19	Henry Arthur Sydney Ledlie	30
20	Venkataramanan Tenkarai Sankaran	30
21	Sowmyan Ramakrishnan	30
22	Vikas Arora	30
23	Rebecca Aranha	30
24	Puneet Kaushik	30
25	Krishna Pal	30
26	Tapash Kumar Pal	30
27	Praveen Kumar Damodhar	30
28	Sumita Sharan	30
29	Chandrika Chulikkattil Manilal	30
30	Preet Badhwar	30
31	Kshitij Kumar	30
32	Kumar Muthuswamy	30
33	Renuka Percy Pereira	30
34	Gurprakash Singh Gill	30
35	Ermin Keki Irani	30
36	Karamjyoti Sales Private Limited	30
37	Sunish Manmohan Anand	30
38	Sohini Rajkumar Pittie	20
39	Ashish Sohan Goyal	20
40	Chitra Chandran	12
41	Dilip Anant Biwalkar	10
42	Chellapilla V N Murthy	10
43	Vivriti Capital Private Limited	10
44	Karunakaran V	10
45	Kantheti Srinivas	10



46	Chaitali Malav Shah	10
47	Suvarna Minesh Shah	10
48	Santosh Goyal	10
49	Thirupurasundari K G	10
50	Narayan JainDRAM Chandna	10
51	Pradip Kumar Menon	3
52	Kavya Madappa	2
53	Shekhar Ramamurthy	50
54	Madhusoothanan. J .	10
55	Sharda Vinodkumar Kothari .	5
56	Jaimin H Shah .	10
57	Dhirajlal Chunilal Shah	20
58	Dilip Ramnikbhai Sarda	10
59	Girish Keshav Lalwani Huf .	15
60	Urmilaben Dineshchandra Patel	50
61	Alisha Bhavik Kothari	10
62	Sarayu Ahuja	100
63	Lakshmi Kameswari Kota	50
64	Vaishali Sanjay Agarwal .	25
65	Rajashekhar Vasudeo Bhat	10
66	Navinvijay Jagannathan	5
67	Aditya Goyal	12
68	Ankita Goyal	28
69	Aparna Rajashekhar Bhat	10
70	Yashwant Kumar Goyal Huf	40
71	Sethuraman Lakshmanan	30
72	Purushotham K R R	50